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ST. 0704
2686

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14632

In Re Application Of: Ryohei Sato

Serial No.

09/855,242

Filing Date

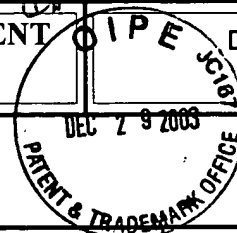
May 15, 2001

Examiner

Unassigned

Group Art Unit

Unassigned



Title: **CELLULAR PHONE APPARATUS AND COMMUNICATION METHOD THEREFOR**

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

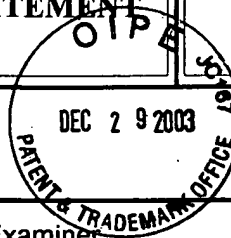
OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on 12/22/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
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Dated: December 22, 2003

Paul J. Esatto, Jr.

Registration No. 30,749

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ryohei Sato

Examiner: Unassigned

Serial No.: 09/855,242

Art Unit: Unassigned

Filed: May 15, 2001

Docket: 14632

For: CELLULAR PHONE APPARATUS
AND COMMUNICATION
METHOD THEREFOR

Dated: December 22, 2003

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INFORMATION DISCLOSURE STATEMENT

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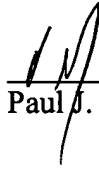
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. 2000-102055, published April 7, 2000; together with English language abstract;
2. Japanese Laid-Open Patent Application No. 11-220440, published August 10, 1999; together with English language abstract;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on December 22, 2003.

Dated: December 22, 2003


Paul J. Esatto, Jr.

3. Japanese Laid-Open Patent Application No. 11-146366, published May 28, 1999; together with English language abstract;
4. Japanese Laid-Open Patent Application No. 10-039966, published February 13, 1998, together with English language abstract;
5. Japanese Laid-Open Patent Application No. 09-008891, published January 10, 1997, together with English language abstract; and
6. Japanese Laid-Open Patent Application No. 10-126640, published May 15, 1998, together with English language abstract.

The references were cited in an Official Action dated October 7, 2003 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. Patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted
in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is
required.

Respectfully submitted,



Paul J. Esatto, Jr.
Registration No. 30,784

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PJE:yd

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FOREIGN PATENT DOCUMENTS

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

